



Form PTO-1449 (REV. 8-83) Patent & Trademark Office Dept. of Commerce INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				ATTY DOCKET NO. 2557-001	APPLICATION NO. 09/775,976		
				APPLICANT JOHN NOTO	RECEIVED		
				FILING DATE 02/02/01	GROUP 2366	APR 17 2001	
Technology Center 2600							
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
HN	1	5,784,507	07/98	HOLM-KENNEDY ET AL.	385	31	
	2	5,781,268	07/98	LIU ET AL.	349	198	04/96
	3	5,561,523	10/96	BLOMBERG ET AL.	356	352	02/95
	4	5,321,539	06/94	HIRABAYASHI ET AL.	359	94	
	5	5,185,754	02/93	CRAIG ET AL.	372	45	07/91
	6	5,150,236	09/92	PATEL	359	71	10/90
	7	5,119,454	06/92	MCMAHON	385	49	
	8	5,068,749	11/91	PATEL	359	92	03/91
	9	5,050,954	09/91	GARDNER ET AL.	385	16	01/90
	10	4,917,476	04/90	MAKH ET AL.	350	351	03/88
	11	4,779,959	10/88	SAUDNERS	350	346	09/87
	12	4,756,606	07/88	JEWELL ET AL.	350	354	06/86
HN	13	5,111,321	05/92	PATEL	359	92	03/91
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)							
HN	14	W.J. GUNNING, POOHI YEH MULTIPLE-CAVITY INFRARED ELECTRO-OPTICAL TUNABLE FILTER ACTIVE OPTICAL DEVICES (1979) VOL. 202; PAGES 21-25.					
	15	W. GUNNING, J. PASKO, J. TRACY A LIQUID CRYSTAL TUNABLE SPECTRAL FILTER: VISABLE AND INFRARED OPERATION; IMAGING SPECTROSCOPY (1981) VOL. 268 PAGES 190-194.					
	16	W. SCHNELLER, J. NOTO, R. DOE LIQUID CRYSTAL FABRY-PEROT ETALONS PART OF THE SPIE CONFERENCE ON OPTICAL ASTRONOMICAL INSTRUMENTATION (1998) VOL. 3355; PAGES 877-883.					
EXAMINER <i>[Signature]</i>				DATE CONSIDERED <i>8/20/04</i>			
Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							



PTO/SB/08A (10-01)

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Substitute for form 1449A/PTO		<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number	09/775,970
		Filing Date	02/02/2001
		First Named Inventor	John Noto et al.
		Art Unit	2633
		Examiner Name	
Sheet	1	of	2
		Attorney Docket Number	

U.S. PATENT DOCUMENTS

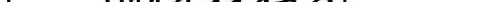
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FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS					
Examiner Initials	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³ -Number ⁴ - Kind Code ⁵ (if known)			
HJ	1	EP 0 498 375	Aug. 1992	Nippon Telegraph and Telephone Corp.	

Examiner Signature  **Date Considered** Aug 22, 04

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¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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2 of 2

Complete if Known

Application Number	09/775,970
Filing Date	02/02/2001
First Named Inventor	John Noto et al.
Group Art Unit	2633
Examiner Name	
Attorney Docket Number	2557-001

Sheet 2 of 2

by Docket Number 2557-001

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

**Examiner
Signature**

Date Considered

Aug. 20, 04

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1 Applicant's unique citation designation number (optional). **2** Applicant(s) place a check mark here if English language Translation is attached.

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